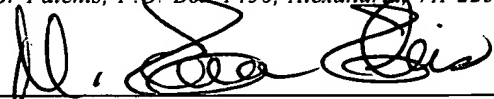


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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M. Ena Ellis

Applicant : Craig L. Ogg, et al.
Application No. : 09/688,452
Filed : October 16, 2000
Title : ROLE ASSIGNMENTS IN A CRYPTOGRAPHIC MODULE FOR
SECURE PROCESSING OF VALUE-BEARING ITEMS

Grp./Div. : 3621
Examiner : Firmin Backer

Docket No. : 40628/SAH/S850

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Post Office Box 7068
Pasadena, CA 91109-7068
April 12, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

Application No. 09/688,452

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Matthew S. Naywell
for Art Hasan
Reg. No. 41,057
626/795-9900

SAH/mee

Enclosures: PTO/SB/08A/B, w/references
MEE PAS560359.1-* -04/12/04 3:23 PM

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use many sheets as necessary)

Attorney Docket Number

40628/SAH/S850

Application Number

09/688,452

Filing Date

October 16, 2000

Applicant(s)

Craig L. Ogg, et al.

Group Art Unit

3621

Examiner Name

Firmin Backer

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,598,477	01-28-1997	Berson
		5,659,616	08-19-1997	Sudia
		5,812,990	09-22-1998	Ryan, Jr. et al.
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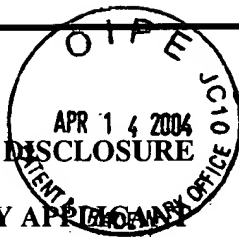
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STATEMENT BY APPLICANT

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Group Art Unit

3621

Examiner Name

Firmin Backer

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
		WO 98/13790 A-1	04-02-1998	Brookner, et al.	
		WO 00/19382 A-1	04-06-2000	Ananda	
		WO 00/70503 A-1	11-23-2000	Brookner	
		WO 01/50227 A2	07-12-2001	Mattern, et al.	

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE		DATE CONSIDERED	
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